



# FAX COVER SHEET

MT 4004-3002

ECO No.: MPP621637

Date: 10/12/20

Rev. Y

Quality System Documentation

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From: \_\_\_\_\_

CPI MPP FAX: 650-846-3319

To: \_\_\_\_\_

Date: \_\_\_\_\_

**Subject: REPRESENTATIONS AND CERTIFICATIONS FAX FORM**

Please complete the enclosed Representations and Certifications fax form and fax them back to me. Consult the following guidelines to help you complete these forms:

- A. Kickbacks.** Kickbacks of any kind including, but not limited to, those paid in currency, gifts exceeding nominal value, and promise of future return (financial or otherwise) are strictly prohibited by CPI Corporate Policy; Federal Acquisition Regulation suppliers shall attest on this Representations and Certifications form that they have not willingly or unwillingly engaged in such activities with CPI employees or agents in the performance of purchasing action(s) in support of CPI. If solicited or requested by an employee or agent of CPI to participate or otherwise engage in such illegal activities, suppliers are required to contact a member of the CPI Purchasing Management team or call CPI's Open Line at 1-800-876-0912. The Open Line is an independent third-party service for reporting matters of concern.
- B. Business Size/Category**
  - **Small Business.** The Federal rules for determining what constitutes a small business are complex; however, as a general rule, companies with fewer than 500 employees are considered small. If you are not sure of your company's status, please call the originator.
  - **Large Business.** The Federal rules for determining what constitutes a large business are complex; however, as a general rule, companies with greater than 500 employees are considered large. If you are not sure of your company's status, please call the originator.
  - **Foreign-Owned Business.** A company not located in the United States or one that is otherwise subject to the control of a foreign government.
  - **Small Disadvantaged.** All companies must be certified through SBA. See information at [www.sba.gov/sdb](http://www.sba.gov/sdb).
  - **Veteran-Owned Small.** Person(s) qualifying the company for this status must own at least 51 percent of the business and be actively engaged in the management of the company.
  - **Women-Owned Small.** Person(s) qualifying the company for this status must own at least 51 percent of the business and be actively engaged in the management of the company.
  - **HUBZone Small Business.** All companies that are located in an eligible HUBZone area must be certified through SBA. See information at [www.sba.gov/hubzone](http://www.sba.gov/hubzone).
  - **Historically Black Colleges, Universities (HBCU), and Minority Institutions.** "Historically black college or university" means an institution determined by the Secretary of Education to meet the requirements of 34 CFR 608.2. For the Department of Defense, the National Aeronautics and Space Administration, and the Coast Guard, the term also includes any nonprofit research institution that was an integral part of such a college or university before November 14, 1986. "Minority institution" means an institution of higher education meeting the requirements of Section 365(3) of the Higher Education Act of 1965 (20 U.S.C 1067k), including a Hispanic-serving institution of higher education, as defined in Section 502(a) of the Act (20 U.S.C 1101a).
  - **Service-Disabled Veteran-Owned Small Business Concern.** A small business concern (i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and (ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran. (iii) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).
- C. Segregated Facilities.** All of CPI's suppliers are expected to be in compliance with Federal laws with regard to not maintaining segregated cafeterias, drinking fountains, etc.
- D. Equal Opportunity.** Most small businesses have not participated in government programs requiring them to complete compliance reports. If this is true of your company, you should check the block indicating "has not participated" and leave the other Equal Opportunity line blank.
- E. Affirmative Action.** The rules for Affirmative Action are similar to Equal Opportunity. If you have not been required to develop a written Affirmative Action Program, you should check the block indicating "not previously had contracts subject to" and leave the other Affirmative Action line blank.
- F. Domestic End Products.** If your products are US made, you can safely check this block. If they are imported, please do not check this block, but do write in the country of origin.
- G. Suspect or Counterfeit Material.** The Seller shall ensure that only new materials are used in products that are required to be delivered to CPI MPP. To further mitigate the possibility of the inadvertent use of counterfeit parts, the Seller must only purchase components and parts directly from the Original Equipment Manufacturers (OEMs), through the OEM's authorized distribution chain, or if through an independent distributor, the Seller must make available to the Buyer, if so requested, OEM documentation that authenticates traceability of the components to that applicable OEM. If the required items cannot be procured from these sources, then use of products from other sources without appropriate traceability documentation is not authorized without approval in advance by CPI MPP. If this is true of your company, you can safely check this block.
- H. Registered with the Department of State.** If the supplier is registered with the Department of State (DS-2032), check the block and provide the expiration date of the registration.

The balance of this form is self-explanatory.

Updated logo.

**Verify revision before use.**



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**RETURN TO** \_\_\_\_\_ **FAX** \_\_\_\_\_ **DATE** \_\_\_\_\_  
**CPI MPP PURCHASING, Palo Alto, CA**

Communications & Power Industries LLC (CPI) manufactures products for both U. S. Government and commercial customers. When products are purchased for resale to the U. S. Government, strict compliance with the Federal Acquisition Regulation (FAR) is required. In this respect, you are requested to complete the following Representations and Certifications and return by FAX. These Representations and Certifications are considered to be made with the intention of obtaining contracts with CPI and become a part of subsequent offers. The penalty for making false statements in offers is prescribed in 15 USC 645(d).

*Check each of the following applicable blocks:*

**FAR Clause**

<input type="checkbox"/>	Supplier has not paid a kickback or kickbacks to any CPI employee	3.502-2
<input type="checkbox"/>	Supplier is a Large Business Concern	52.219-1
<input type="checkbox"/>	Supplier is a Small Business Concern	52.219-1
<input type="checkbox"/>	Supplier is a Small Disadvantaged Business Concern	52.219-1
<input type="checkbox"/>	Supplier is a Veteran-Owned Small Business	52.219-1
<input type="checkbox"/>	Supplier is a Woman-Owned Small Business	52.219-1
<input type="checkbox"/>	Supplier is a HUBZone Small Business Concern (Certificate No. _____)	
<input type="checkbox"/>	Supplier is a Historically Black College, University (HBCU), or Minority Institution	52-219-1
<input type="checkbox"/>	Supplier is a Service-Disabled Veteran-Owned Small Business Concern	52-219-1
<input type="checkbox"/>	Supplier is a Foreign-Owned Business – Country of Origin: _____	52-219-1
<input type="checkbox"/>	Segregated facilities will not be used by this supplier, and subcontractors will be notified that they shall not maintain segregated facilities	52.222-21
<input type="checkbox"/>	Supplier has not participated in previous work subject to the Equal Opportunity Clause	52.222-22
<input type="checkbox"/>	Supplier has filed all required Equal Opportunity compliance reports	52.222-22
<input type="checkbox"/>	Supplier has developed and has on file Affirmative Action Programs	52.222-25
<input type="checkbox"/>	Supplier has not previously had contracts subject to written Affirmative Action Program requirements	52.222-25
<input type="checkbox"/>	Each end product is a domestic end product unless indicated otherwise	52.225-1
<input type="checkbox"/>	Supplier will not consider, intend to provide, or otherwise propose the use of suspect or counterfeit material as a means of fulfilling its contractual obligation(s) to CPI	
<input type="checkbox"/>	Supplier is registered with the Department of State – Expiration Date: _____	

*The following CPI standard conditions apply unless the supplier notes exceptions below:*

CPI STANDARD	EXCEPTIONS TO CPI STANDARD
Freight: _____ *Collect	
FOB: _____ Destination	
Payment Terms: _____ 2%, 10, Net 45	

*\*Contact appropriate Buyer/Planner for freight account information.*

*Please check your appropriate Supplier Classification below:*

<input type="checkbox"/> Contractor	<input type="checkbox"/> Distributor	<input type="checkbox"/> Transportation	<input type="checkbox"/> Components Manufacturer
<input type="checkbox"/> Retailer	<input type="checkbox"/> Special Services	<input type="checkbox"/> Raw Materials	<input type="checkbox"/> Equipment Manufacturer
<input type="checkbox"/> Custom Fabricator (CPI Specs)		<input type="checkbox"/> Other _____	

Signature \_\_\_\_\_ Company Name \_\_\_\_\_  
 Typed or Printed Name \_\_\_\_\_ Street Address \_\_\_\_\_  
 Position Title \_\_\_\_\_ City, State, ZIP \_\_\_\_\_  
 Date \_\_\_\_\_